

FORM NL-12 & 12A - INVESTMENT SCHEDULE

		NL -12		NL -12A		(Amount in Rs. Lakhs)	
		Shareholders		Policyholders		Total	
Particulars		As at 30 SEPTEMBER 2021	As At 30 SEPTEMBER 2020 (Corresponding previous year)	As at 30 SEPTEMBER 2021	As At 30 SEPTEMBER 2020 (Corresponding previous year)	As at 30 SEPTEMBER 2021	As At 30 SEPTEMBER 2020 (Corresponding previous year)
LONG TERM INVESTMENTS							
1	Government securities and Government guaranteed bonds including Treasury Bills	16,082	12,945	42,620	32,048	58,702	44,993
2	Other Approved Securities	-	-	-	-	-	-
3	Other Investments	-	-	-	-	-	-
	(a) Shares	-	-	-	-	-	-
	(aa) Equity	-	-	-	-	-	-
	(bb) Preference	-	-	-	-	-	-
	(b) Mutual Funds	-	-	-	-	-	-
	(c) Derivative Instruments	-	-	-	-	-	-
	(d) Debentures/ Bonds	10,780	10,832	17,069	11,670	27,848	22,503
	(e) Other Securities (to be specified)	-	-	-	-	-	-
	(f) Subsidiaries	-	-	-	-	-	-
	(g) Investment Properties-Real Estate	-	-	-	-	-	-
4	Investments in Infrastructure and Housing	5,173	7,147	10,378	13,000	15,550	20,146
5	Other than Approved Investments	-	-	-	-	-	-
	TOTAL	32,035	30,924	70,066	56,718	1,02,101	87,642
SHORT TERM INVESTMENTS							
1	Government securities and Government guaranteed bonds including Treasury Bills	8,726	8,152	26,956	15,449	35,681	23,601
2	Other Approved Securities	-	-	11,950	13,967	11,950	13,967
3	Other Investments	-	-	-	-	-	-
	(a) Shares	-	-	-	-	-	-
	(aa) Equity	-	-	-	-	-	-
	(bb) Preference	-	-	-	-	-	-
	(b) Mutual Funds	-	-	-	-	-	-
	(c) Derivative Instruments	-	-	-	-	-	-
	(d) Debentures/ Bonds	5,754	2,506	6,027	4,511	11,781	7,017
	(e) Other Securities (to be specified)	-	-	-	-	-	-
	(f) Subsidiaries	-	-	-	-	-	-
	(g) Investment Properties-Real Estate	-	-	-	-	-	-
4	Investments in Infrastructure and Housing	3,502	996	10,235	-	13,737	996
5	Other than Approved Investments	-	-	-	-	-	-
	TOTAL	17,982	11,655	55,168	33,927	73,150	45,582
	GRNAD TOTAL	50,017	42,578	1,25,234	90,645	1,75,250	1,33,224

Notes:

(a) Investments in subsidiary/holding companies, joint ventures and associates shall be separately disclosed, at cost.

- Holding company and subsidiary shall be construed as defined in the Companies Act, 1956 as amended by Company Act 2013:
- Joint Venture is a contractual arrangement whereby two or more parties undertake an economic activity, which is subject to joint control.
- Joint control - is the contractually agreed sharing of power to govern the financial and operating policies of an economic activity to obtain benefits from it.
- Associate - is an enterprise in which the company has significant influence and which is neither a subsidiary nor a joint venture of the company.

-Significant influence (for the purpose of this schedule) - means participation in the financial and operating policy decisions of a company, but not control of those policies. Significant influence may be exercised in several ways, for example, by representation on the board of directors, participation in the policymaking process, material inter-company transactions, interchange of managerial personnel or dependence on technical information. Significant influence may be gained by share ownership, statute or agreement. As regards share ownership, if an investor holds, directly or indirectly through subsidiaries, 20 percent or more of the voting power of the investee, it is presumed that the investor does have significant influence, unless it can be clearly demonstrated that this is not the case. Conversely, if the investor holds, directly or indirectly through subsidiaries, less than 20 percent of the voting power of the investee, it is presumed that the investor does not have significant influence, unless such influence is clearly demonstrated.

- A substantial or majority ownership by another investor does not necessarily preclude an investor from having significant influence.

(b) Aggregate amount of company's investments other than listed equity securities and derivative instruments and also the market value thereof shall be disclosed in the **Annexure A as specified below.**

(c) Investments made out of Catastrophe reserve should be shown separately.

(d) Debt securities will be considered as "held to maturity" securities and will be measured at historical cost subject to amortisation.

(e) Investment Property means a property [land or building or part of a building or both] held to earn rental income or for capital appreciation or for both, rather than for use in services or for administrative purposes.

(f) Investments maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve months from balance sheet date shall be classified as short-term investments

(g) Investment Regulations, as amended from time to time, to be referred

A) Aggregate value of Investments other than Listed Equity Securities and Derivative Instruments

(Amount in Rs. Lakhs)

	Particulars	Shareholders		Policyholders		Total	
		As at 30 SEPTEMBER 2021	As At 30 SEPTEMBER 2020 (Corresponding previous year)	As at 30 SEPTEMBER 2021	As At 30 SEPTEMBER 2020 (Corresponding previous year)	As at 30 SEPTEMBER 2021	As At 30 SEPTEMBER 2020 (Corresponding previous year)
	Long Term Investments--						
	Book Value	32,035	30,924	70,066	56,718	1,02,101	87,642
	market Value	33,342	32,889	72,619	59,981	1,05,962	92,870
		-	-	-	-	-	-
	Short Term Investments--						
	Book Value	17,982	11,655	55,168	33,927	73,150	45,582
	market Value	18,722	11,926	56,791	34,681	75,513	46,607